

# Back to the Future: Towards Innovative Low and Zero Head Archimedean Hydropower Turbines in Irrigation Networks

**Alkistis Stergiopoulou**

National Technical University of Athens,  
Department of Water Resources and Environmental  
Engineering, School of Civil Engineering  
9 Heron Polytechniou, 15780 Athens, Greece  
E-mail: alkisti\_ster@yahoo.gr

**Vassilios Stergiopoulos**

ASPETE, School of Pedagogical and  
Technological Education, Department of Civil  
Engineering Educators, Eirini Station, N.Heraklio,  
14121 Athens, Greece  
E-mail: bstergiopoulos@aspete.gr

**Abstract—** The possibilities of exploiting low and zero-head potential of irrigation hydraulic networks and natural watercourses, for power generation in Europe and Greece, have both been given little attention. Such opportunities represent a large renewable energy resource which could be exploited by “modern Archimedean screw technologies” with inclined or horizontal axis. The present paper gives a brief history of rediscovering the old Archimedean screw pumps as a series of modern and efficient Archimedean hydropower turbines, including floating spiral screw devices, for harnessing the important and unexploited Greek small hydraulic energy potential of all the existing agricultural hydraulic networks and watercourses, producing useful green electricity. It gives a short view of the first Archimedean Inclined Axis Hydropower Turbines (AIAHT's) and of a modern Archimedean Water Current Turbines (AWCT's) results, which were carried out within the program ARCHIMEDES III recent research entitled “Rebirth of Archimedes: contribution to the study of hydraulic mechanics and hydrodynamic behavior of Archimedean cochlear waterwheels, for recovering the hydraulic potential of natural and technical watercourses, of maritime and tidal currents”. Our analysis shows some very promising performances for such unconventional systems harnessing the important potential of a Pleiades of sites in the agricultural sector. In this paper are included series of innovating demonstration AIAHT and AWCT schemes, in cascade and in parallel, for low head sites in irrigation, drainage channels and watercourses and for series of horizontal floating screws exploiting the zero head kinetic energy of open channels and rivers, as good examples for jumping between the past and future and proving the importance of the “back to the future” rediscovering the Archimedean ideas, during the nowadays Era of Transition in Greece.

**Keywords—** Archimedean screw turbines; small hydro; irrigation networks; kinetic energy

## I. INTRODUCTION

Nowadays, in the time of transition, oil prices soar and global warming and climate change threatens, the

need for affordable sustainable solutions to the energy and economic crisis around the world is ever increasing and encourage researcher to pay attention in founding new sources of green energy. The profound roots of the Archimedean hydraulic ideas and screw technology had been lost in the forgotten legacy of the mythology and of the agricultural beginnings, when man has both manipulated and harnessed the energy of all natural water resources. In the form of mechanical energy, small hydro has been for many centuries used for conveying water from rivers, the falling energy of which turned wheels for grinding wheat into flour. In a very strange and full enigmatic hydropower meaning ancient epigram, in “Anthologia Palatina Graeca”, the Augustan poet Antipater of Thessalonica magnified the waterpower, the hydro-devices, the strange waterwheels of Olympus and probably of the Nezeros – Askouris Lake area, the Greek water deities and the nymphs: “Rest your wheel-turning hand, you maidens who grind. Sleep on even when the cock's crow announces down, for Demeter has resigned to the nymphs the chores your hands performed. They leap against the very edge of the wheel, making the axle spin which, with its resolving cogs, turns the heavy pair of porous millstones from Nezeros. We once again, have a taste of the old way of life, if we learn to feast on produce of Demeter without toil”. Besides and beyond this poetic and with a certain ambiguity technical description of an efficient hydropower device, giving one of the earliest references to waterwheels and probably to water screws, it seems that Archimedean hydraulic screw technology had a very long history in Greece and in the world. Everything started 23 centuries ago, during Hellenistic time, in the technological context of Macedonian Alexandria, in the famous Library and Museum, where the spirit of Alexander the Great and Aristotle's was present, with various machines and mechanisms, gears, planetaria, celestial globes, the Antikythera Mechanism, with pumps, various mills driven by water wheels etc (Stergiopoulou and Stergiopoulos), (2009) [1,2]. The oldest hydraulic machine, still remaining in operation, is screw pump. Its discovery is attributed, on the basis of numerous Greek and Latin texts, to the greater perhaps engineering and mathematical genius of antiquity and all times, Archimedes of Syracuse in

the 3rd BC century. The Roman engineer Vitruvius gave a detailed and informative description of the construction of an Archimedes Screw Pump (ASP) in his monumental engineering project "De Architectura" and since then, the classical description of the screw continues to contribute greatly to making it the most famous hydraulic device worldwide. (Stergiopoulou and Stergiopoulos, (2009) [1], (2010) [2]. At present, renaissance is taking place in the promotion and construction of various types of small hydro plants including the low and very low head cases and we are actually witnessing a new revolution in Archimedean small-hydro. The evolution of the spiral hydraulic screw mechanisms continues nowadays thanks to the overtime-continuous Archimedean contribution (Rorres), (2000) [3]. A view of the famous Archimedean screw with eight blades, as described by Vitruvius, a three bladed screw scheme, two farmers using a conventional manual screw pump to irrigate their farmlands in the Nile delta of Egypt, and two modern Archimedean Screw Turbines (AST), one Archimedean Inclined Axis Hydropower Turbine (AIAHT) and one Archimedean Water Current Turbine (AWCT), newly developed under the present ARCHIMEDES III research, are shown in Figure 1, trying to bridge the knowledge gap between the past and the present and proving the importance of the "back to the future" rediscovering the Archimedean ideas. In the same figure is also given a panoramic view of the ancient small lake Nezeros in the Olympus Mount, playing a key role into the Antipatros epigram and having an important drainage canal, presenting a certain small hydro interest. (Stergiopoulou and Stergiopoulos), (2010) [2], (2011) [4].

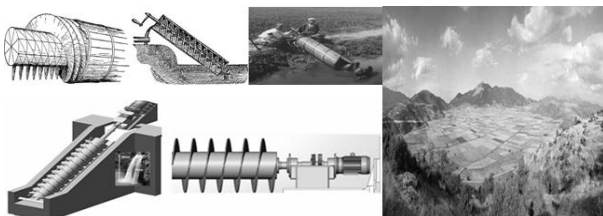


Fig. 1. Depictions of Vitruvius ASP, of modern AST and a view of the ancient Nezeros Lake.

## II. TOWARDS THE REBIRTH OF ARCHIMEDEAN SCREW TECHNOLOGY

Thousands of years after the original invention of the first cochlear rotor, the Archimedean Screw Pump (ASP), as the best known of all pumps, persists into modern times (Rorres), (2000) [3]. During the last years, the inverse use of the Archimedean screw, as a kind of inverse screw pump-turbine, is under discussion within the hydropower scientific community (Kantert), (2008) [5]. The screw renaissance taking place actually throughout the world in the promotion and construction of renewable energy valorizes Archimedean Screw Turbines (AST) for low, ultra-low and zero-head small hydro plants. Some cochlear inclined axis small hydro plants were installed during the last decade in Central Europe by various industrial

companies, which were based on the inversion of the energy flow in their pumps operation and turning the old screw pumps into new screw turbines (Lashofer), (2014) [6]. Low, ultra-low and zero-head cochlear hydropower plants are developing very slowly, due to the fact that recent Archimedean screws are a new type of turbines in all countries throughout the world. Actually, a series of various experimental and theoretical efforts had been made under the research program ARCHIMEDES III, concerning various AST configurations, by using small-scale models, in order to proceed in the exploitation of small hydropower potential in various small-head river sites (Stergiopoulou and Stergiopoulos), (2012) [7]. For sites with relative greater heads, and relative greater water flows, the cascades of two or more similar energy spiral rotors in series and in parallel could give efficient Archimedean hydropower solutions. Figure 2 gives photorealistic views in "virtual sites" of inclined and horizontal axis Archimedean Screw Small Hydropower Turbines, in series and parallel. Also, this figure shows an Archimedean Turbine Park, having 4 inclined and 4 horizontal Archimedean turbines. The proposed "rebirth" of the Archimedean screw, as a modern hydropower tool, could cover various hydropower requirements of hundreds of sites in man-made open channels, in closed pipes having a small head and also in natural watercourses. Two inclined axis Archimedean screws inside water ducts are illustrated in this figure. The first case concerns a buried screw of some KWs minimizing landscape impact. The installed capacity  $P$  (KW) of some Archimedean screw turbines, in function of the water flow  $Q$  (horizontal axis) and the available height  $H$  (vertical axis), is also given in the same figure.

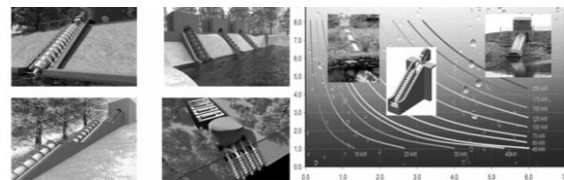


Fig. 2. Four Archimedean artistic photorealistic views for "virtual sites" and evolution of the installed capacity of AST, in function of  $Q$  and  $H$

## III. TOWARDS THE MACEDONIAN PHALANX ARCHIMEDEAN HYDRO DEVELOPMENT OF GREECE

The important role, mainly of Pindos Mountain Range, controlling the annual rainfall difference between the North-Western and the Eastern Greece is obvious. It is also obvious the small hydroelectric potential role of the same mountain range with a series of other mounts (e.g. the Vermion, Olympus, Athos, Vardousia, Taygetos etc.). The very significant untapped hydrodynamic potential, of about 30 TWh according to an inventory, the current Greek economic crisis situation and all systematic efforts relative to the hydrodynamic behavior studies of innovative low and zero head Archimedean screw turbines could give an increased impetus in low head hydraulic renewable energy sources. According to the under evolution

research, within ARCHIMEDES III program, the cochlear screws could find very promising modern applications, as efficient hydraulic turbomachines (Stergiopoulou and Stergiopoulos), (2011) [4], (2012) [7]. Pleiades of promising small hydro sites in Greece are presented in the Figure 3, in which is also given a “2 Hydro Spears Macedonian Phalanx” representation, with the important small hydroelectric role of Pindos mountain range, along the first Archimedean spear, from Epirus, Thessaly, Central Greece, Peloponnesus, and the role of the mounts of Vermion, Veras, Paikon, Rodope of Northern Greece, Macedonia and Thrace, along the second Archimedean spear. It is important to note that the two spears Macedonian Hydro Phalanx characterize the hydropower development of Greece, including the first hydropower stations being in operation since 1954 in Agras, Louros, Ladon and the larger hydropower stations in Central Macedonia, in Thrace, in Thessaly and in West Central Greece. The two long hydropower spears of this Macedonian development phalanx could be a real offensive Archimedean hydropower development tactic formation of Greece against the present crisis and a source of future hydro-economic prosperity as the glorious hydro-prosperity of the recent past. The same figure gives also a schematic representation of one AST with inclined shaft exploiting the potential of a watercourse having a flow discharge  $Q$  and a height  $H$ .

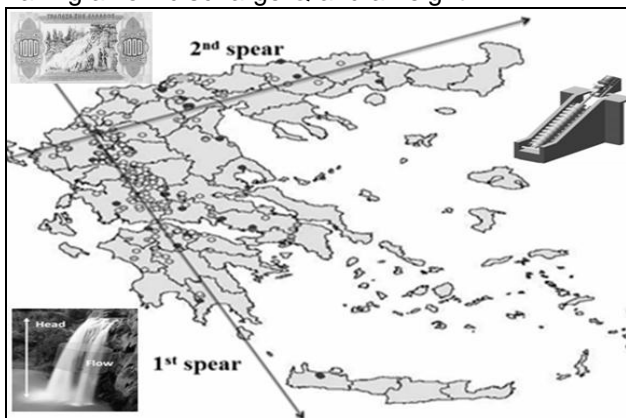


Fig. 3. The “2 Hydro Spears Macedonian Phalanx Archimedean Hydro Development” of Greece

For such a Greek Archimedean small hydropower development effort, mainly along the “North-South Small Hydropower Development Spear”, series of questions to be answered are real technical dilemmas. These dilemmas are illustrated in Figure 4. First dilemma: what are more efficient, Archimedean screws in series or in parallel? Second dilemma: if we have a site with screw in series how many screws should be connected? Third dilemma: if we have a site with screws in parallel how many screws should be connected? Fourth dilemma: if we have a site with the combined solution of screws in parallel and screws in series how many screws should be connected for each case?

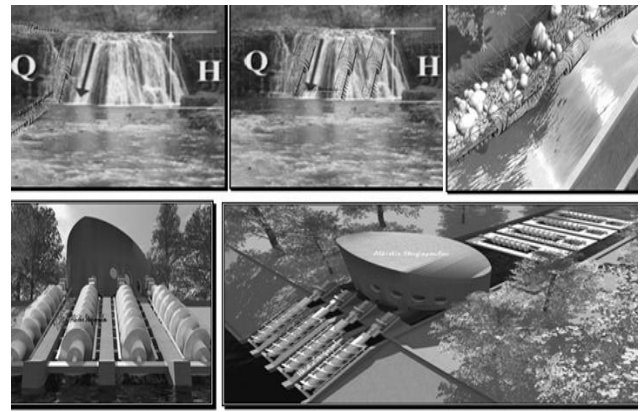


Fig. 4. First, second, third and fourth Archimedean screws dilemma

For a particular AST case study, concerning a first site, in the Drainage Channel 66 (figure 5), in Imathia Prefecture, the maximum flow of the screw turbine is estimated to be  $4.67 \text{ m}^3/\text{s}$ . The net head is calculated by estimating the hydraulic losses or after assumption of 3% head losses. The gross head was 1.50m. Then the net head becomes 1.45m. The generated power on the turbine axis is  $P_t=49.821 \text{ KW}$  (with  $\eta_{\text{turb}}=0.75$ ) and the generated electrical power is  $P=41.501 \text{ KW}$  (with  $\eta_{\text{gen}}=0.98$  and  $\eta_{\text{gear}}=0.85$ ). It is estimated that the AST would be operated at the maximum power of  $41.501 \text{ KW}$  with a design flow approximately at least  $4.67 \text{ m}^3/\text{s}$  in the river for 150 days per year. For the rest of the year the turbine would be operated with reduced power. The annual energy production is estimated on the basis of the capacity factor  $CF = \text{time of design operation}/8760\text{h}$ . In our case,  $CF$  is around 0.65. The annual energy production  $E(\text{KWh})=P(\text{KW}).CF.8760$  is calculated  $E(\text{KWh}) = 236\ 307 \text{ KWh/year}$ . A first estimation of the installation cost gives a total amount of 121 000 E, with 58 000E for the equipment of AST, turbine and generator, 40 000E for the civil works, 15 000E the electrical system and the connections, 8 000E other. A quick first economical analysis would probably give a very interesting P.P. (payback period) of 5-6 years, a very good N.P.V. (Net Present Value) and an efficient I.R.R. (Internal Rate of Return). In general AST power development in this particular site is good idea for investment in Archimedean green energy.

The third type of hydropower turbine concerns the low and zero head Archimedean screw systems with inclined and horizontal axis efficient and technically feasible machines.



Fig. 5. A general view of a first site of the drainage channel 66 for a possible AST future development





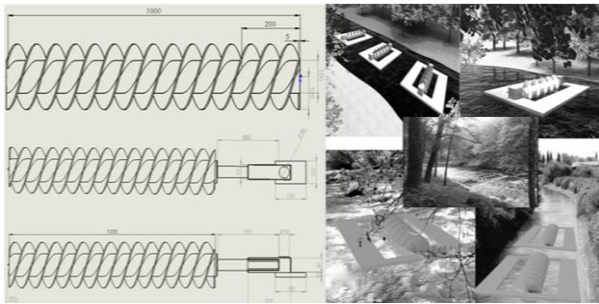


Fig. 10. Design of a new developed horizontal screw rotor with photorealistic views of floating screws

The length  $L$ , the diameters (output and input), the pitch  $S$  and the number of blades of the developed new screw rotor are:  $L=1\text{m}$ ,  $D_o=200\text{mm}$ ,  $D_i=100\text{mm}$ ,  $S/D_o=1$ ,  $S=200\text{mm}$ ,  $n=3$  (number of blades). The horizontal screw could rotated horizontally and change direction ( $\Delta\theta = 100^\circ$ ), forming an upstream angle of  $50^\circ$  with its initial position and a downstream angle of  $50^\circ$  with its initial position (see Figure 12). Some information concerning two possibilities for the rotation of the horizontal screw is given in the same figure.

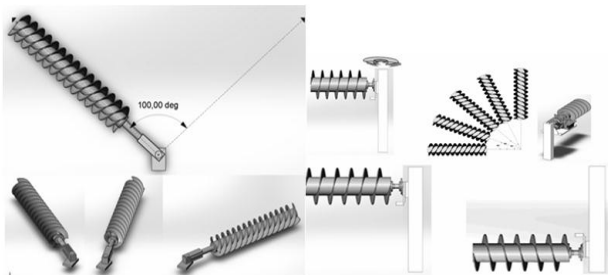


Fig. 11. Rotation possibilities of an innovative horizontal screw

An artistic photorealistic view of a series of horizontal screw rotors in a channel is given below in Figure 13 together with the new 3-bladed horizontal axis Archimedean screw turbine, before installing in the experimental channel of the Laboratory the Institute for Water Management, Hydrology and Hydraulic Engineering, in Vienna (Mayrhofer and Stergiopoulou et al), (2014) [8]. On the right edge of the screw axis is the torque meter.

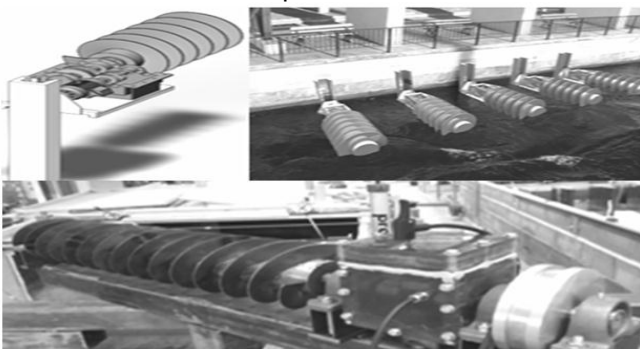


Fig. 15. Artistic photorealistic view of a series of horizontal screw rotors in a channel & a view of the innovative 3-bladed horizontal axis Archimedean screw turbine

Some other views of this new horizontal axis Archimedean screw turbine installed recently in the long channel of the Laboratory the Institute for Water Management, Hydrology and Hydraulic Engineering, in Vienna, are given in the Figure 14 (Mayrhofer and Stergiopoulou et al, 2014). Now, the torque meter is on the left edge of the screw.



Fig. 12. Views of the new 3-bladed horizontal axis Archimedean screw turbine inside a long channel

## V. PRELIMINARY CONCLUSIONS

The presented here brief history of rediscovering the old Archimedean Screw Pumps (ASP) as a series of modern efficient Archimedean Screw Turbines (AST) and the experimental and theoretical contributions to the development of various new inclined and horizontal axis screw turbines prototypes, together with the preliminary ARCHIMEDES III research efforts, proved the very promising and very useful exploitation of two types innovative screw techniques, under the form of Archimedean Inclined Axis Hydropower Turbines (AIAHT's) and Archimedean Water Current Turbine (AWCT's). Hundreds of these two types screw devices along the "2 Hydro Spears Macedonian Phalanx" could harness the important unexploited low, ultra-low and zero-head Greek hydraulic potential of a Pleiades of sites in the agricultural sector, in irrigation and drainage man-made networks and natural watercourses and recovering the flowing kinetic energy of streams and open channels as well. Such innovative Low and Zero Head Archimedean hydropower turbines in Irrigation and Drainage Networks, with AIAHT and AWCT cochlear hydropower devices, seems to prove the importance of the "Back to the Future" rediscovering the old but always modern Archimedean ideas, tend to emphasize jumping between the past and future and find the most reliable sustainable development way of Greece through the obstacles of the actual Era of Transition.

## ACKNOWLEDGMENT

This research has been co-financed by the European Union (European Social Fund-ESF) and Greek national funds through the Operational Program "Education and Lifelong Learning" of the National Strategic Reference Framework (NSRF) - Research Funding Program ARCHIMEDES III (Investing in knowledge society through the European Social Fund).

## REFERENCES

- [1] A. Stergiopoulou, V. Stergiopoulos, "From the old Archimedean Screw Pumps to the new Archimedean Screw Turbines for Hydropower Production in Greece", *Proceedings of the 2<sup>nd</sup> International CEMEPE 2009*, Mykonos, 2009.
- [2] V. Stergiopoulos, A. Stergiopoulou, "Quo Vadis Archimedes Nowadays in Greece? Towards Modern Archimedean Turbines for Recovering Greek Small Hydropower Potential", *Proceedings of the 3rd International Scientific "Energy and Climate Change" Conference*, Athens, 7-8 October 2010.
- [3] C. Rorres, "The turn of the screw: optimal design of an Archimedes Screw", *ASCE Journal of Hydraulic Engineering*, Vol. 126, no. 1, pp. 72-80, January, 2000
- [4] V. Stergiopoulos, A. Stergiopoulou, "Back to the Future: Rediscovering the Archimedean Screws as Modern Turbines for Harnessing Greek Small Hydropower Potential", *Proceedings of the 3<sup>rd</sup> International CEMEPE 2011 & SECOTOX*, Skiathos, 2011
- [5] P.J. Kantert, "Praxishandbuch Schneckenpumpe", *Hirhammer Verlag*, 2008
- [6] A. Lashofer, "Hydropower screw-a tried, tested, proven low-head technology with unabated innovation potential", *Proceedings of 18<sup>th</sup> International Seminar on Hydropower*, Vienna, 2014
- [7] A. Stergiopoulou, V. Stergiopoulos, "Greece in the Era of Transition: Archimedean soft small hydropower development Terra Incognita", *Proceedings of the International Conference, "Protection and Restoration of the Environment XI"*, Thessaloniki, 2012.
- [8] B. Mayrhofer, A. Stergiopoulou, B. Pelikan and E. Kalkani, "Towards an Innovative Radial Flow Impulse Turbine and a New Horizontal Archimedean Hydropower Screw", *J. of Energy Power Sources*, Vol. 1, No. 2, pp. 71-77, 2014.